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Der Präsident des Europäischen Patentamts;  
Im Auftrag

For the President of the European Patent Office

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**A radio telecommunications system operative by iterative determination of soft estimates, and a corresponding method**

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(Claussen 2)

## **A RADIO TELECOMMUNICATIONS SYSTEM OPERATIVE BY ITERATIVE DETERMINATION OF SOFT ESTIMATES, AND A CORRESPONDING METHOD**

### **Technical Field**

The present invention relates to a radio telecommunications system operative to communicate digital data symbols by iterative determination of soft estimates. The present invention also relates to a method of sending digital data symbols.

### **Background of the Invention**

Turbo-encoded multiple-input multiple-output (MIMO) systems have recently been proposed for the support of high-speed downlink packet access (HSDPA) in UMTS, see for example 3GPP TSG RAN WG1, "PARC with APP Decoding for HSDPA", TSG-R1(02)0549, April 2002, Paris, France. The concept here is to increase the achievable data rates for a particular user through a combination of code re-use across transmit antennas and higher-order modulation schemes. The code re-use inevitably results in high levels of interference at the mobile receiver, even under non-dispersive channel conditions. In order to tackle such high interference levels, receivers based on the optimal a posteriori probability (APP) detector (see for example Benedetto, S.; Divsalar, D.; Montorsi, G.; Pollara, F., "A Soft Input Soft-Output APP Module for Iterative Decoding of Concentrated Codes", IEEE Communications Letters, Volume 1, Issue 1, pp. 22-24, January 1997) followed by turbo decoding have been proposed, see for example 3GPP TSG RAN WG1, "Further link level results for HSDPA using multiple antennas", TSG R1#17(00)1386, November 2000, Stockholm, Sweden, or 3GPP TSG RAN WG1, "Link Level Results for HSDPA using Multiple Antennas in Correlated and Measured Channels", TSG R1#19(01)0302, February 2001, Las Vegas, U.S.A. To cope with dispersive channels and in order to avoid sequence estimation, it is necessary to use an APP detector preceded by a matrix channel equaliser.

Essentially, the APP detector operates by computing soft-outputs for the transmitted bits which most closely match the received signal in an Euclidian sense. The computational complexity of the APP detector is an exponential function of the total number of bits transmitted during a symbol epoch, which is equal to the product of the  
5 number of transmit antennas and the number of bits per symbol. Consequently, the complexity of the APP detector can become prohibitive for increasing numbers of transmit antennas and (perhaps more importantly) modulation orders. This inflexibility of the optimal APP detector has resulted in renewed interest in the use of suboptimal but less complex MIMO detectors.

- 10 Successive interference cancellation (SIC) schemes have been considered for many years in the context of multi-user detection for the CDMA uplink (see for example Verdu S., "Multiuser Detection", Cambridge University Press, 1998; Claussen, H.; Mulgrew, B.; Karimi, H.R., "Performance Optimization of Successive Cancellation Detectors", World Wireless Congress, pp. 797-802, May  
15 2002, San Francisco, U.S.A; and Guinand, P.S.; Kerr, R.W.; Moher, M., "Serial Interference Cancellation for Highly Correlated Users", IEEE Pacific Rim Conference on Communications, Computers and Signal Processing, pp. 133-136, August 1999, Victoria, Canada. These schemes combat interference by successively detecting and cancelling the influence of data streams from the received signal. The more reliable  
20 data streams are detected and cancelled first. In the context of MIMO receivers, the original Bell Labs Layered Space Time BLAST detector (as described in Foschini G.J., "Layered Space-Time Architecture for Wireless Communication in a Fading Environment when using Multielement Antennas", Bell Labs Technical Journal, pp. 41-59, Autumn 1996) is essentially a SIC architecture incorporating ordering and detection  
25 based on the minimum mean-squared error (MMSE) criterion. Furthermore, significant performance improvements have been demonstrated through iterations between the BLAST detector and a convolutional decoder, as described in Li, X., Huang, H., Foschini, G.J., Valenzuela, R.A., "Effects of Iterative Detection and Decoding on the Performance of BLAST", GLOBECOM '00, Volume 2, pp. 1061-1066, November  
30 2000, San Francisco, U.S.A.

### Summary of the Invention

The present invention provides a radio telecommunications system operative to communicate digital data symbols with higher than quadrature phase shift keying (QPSK) modulation, the system comprising a transmitter and a receiver,  
5 the transmitter comprising a modulator and means to split and encode the data into a first block of more significant bits of symbols and a second block of less significant bits of the symbols for modulating by the modulator,  
the receiver being operative to receive digital data bits by iterative  
10 determination of soft estimates of bits followed by a hard decision as to what bit was intended. the receiver comprising  
a first processor operative to provide first soft estimates of bits of the received signal,  
a second processor operative to decode the first soft estimates and to  
15 provide second soft estimates of the bits,  
a first combiner operative to provide adapted first soft estimates to the second processor, the adapted first soft estimates of each bit being dependent upon the respective first soft estimate and a respective previous first soft estimate,  
a second combiner operative to provide third soft estimates back to the  
20 first processor for subsequent further decoding, the third soft estimates of each bit being dependent upon the respective second soft estimate and a respective previous second soft estimate.

Preferred embodiments of the present invention provide a layered  
25 encoding scheme, whereby bits which are given equivalent protection by the modulation scheme are encoded together in one block. In this way the well-protected bits can be detected and their interference cancelled independently of the less-protected bits.

Exploiting the layered encoding scheme in the receiver improves the  
30 BER at the output of the MF-SIC detector and allows successful initialisation of the iterative detection/decoding process. This allows the use of an iterative MF-SIC receiver to deal with higher order modulation schemes (16-QAM, 64-QAM, ...) and

offers superior performance over the known solution (namely an equalized APP/spherical APP receiver), but at significantly lower computational complexity. In consequence, the integrated circuit chip upon which the detector and decoder circuit is implemented can be smaller.

- 5                   The resulting bit-based detection makes optimal detection ordering possible for higher-order modulation schemes.

Preferred embodiments of the present invention incorporate layered encoding of bit groups, depending on the level of protection provided by the modulation scheme so as to allow the use of iterative MF-SIC receivers with 16- and 64-QAM  
10 modulation. In fact, all receivers based on successive cancellation, like the original BLAST detector, can be improved by the proposed scheme. In the preferred embodiments, an iterative MF-SIC receiver was extended to take advantage of a layered encoding scheme. This allows highly scalable receiver architectures that can offer superior performance in comparison to known equalized APP/spherical APP  
15 receivers, at significantly lower complexity. (for example transmission diversity using 12 transmitting and 12 receiving antennas with 64-QAM modulation).

Preferred embodiments provide a bit-based successive interference cancellation (SIC) scheme incorporating simple matched filters (MF) as the basic detection unit is considered as a receiver for a convolutionally-encoded MIMO link.  
20 The MF-SIC detector performs iterations with a convolutional decoder in conjunction with a soft-output combining technique. Convolutional coding is used, since it provides better convergence than turbo coding in iterative schemes. The combining acts to suppress instabilities caused by erroneously detected and cancelled bits. The resulting receiver architecture is highly scalable in terms of dealing with growing numbers of  
25 transmit antennas and high-order modulation schemes.

Preferably the means comprises a first convolutional encoder operative to produce blocks of the more significant bits; a second convolutional encoder operative to produce blocks of less significant bits, and respective interleavers each  
30 operative to interleave the blocks from the associated encoder into a respective data stream for modulating. Preferably, the transmitter further comprises a spreader for spreading by the application of spreading codes.



Preferably, in use, at the receiver the soft estimates of multiple data streams which are multiplexed into one stream at the output of the first processor, and are provided to the first combiner so as to provide the adapted first soft estimates which are deinterleaved by a deinterleaver before being passed to the second processor, and  
5 the third soft estimates provided by the second combiner are interleaved by an interleaver before being passed back to the first processor.

Preferably the first processor is a successive interference cancellation SIC multiple input multiple output MIMO detector and the second processor is a convolutional decoder, the soft estimates being log likelihood ratios.

10 Preferably the SIC MIMO detector includes matched filters for detection.

Preferably the modulation scheme is 16 Quadrature amplitude modulation, the first two bits of a symbol being provide by the first convolutional encoder (a), and the last two bits of a symbol being provided by the second convolutional encoder (b).

15 Alternatively preferably the modulation scheme is 64 Quadrature amplitude modulation, the first two bits of a symbol being provide by the first convolutional encoder (a), and the last two bits of a symbol being provided by convolutional encoder (b), the intermediate two bits being provided by a third convolutional encoder.

20 Preferably, in use, at the receiver a plurality of detection iterations each involving the first processor, second processor and the combiners are performed whereupon a hard decision is made as to what bit(s) was/were intended.

Preferably the more significant bits are detected in the received signal in a first series of iterations. their estimated contribution to the received signal being  
25 subtracted to provide a modified received signal from which the less significant bits are detected by a second series of iterations.

Furthermore preferably the estimated contribution of the less significant bits to the received signal is subtracted to provide a further modified received signal from which the more significant bits are detected by a third series of iterations.

30 Furthermore preferably the estimated contribution to the received signal of the more significant bits detected in the further modified received signal in the third series of iterations is subtracted to provide a yet further modified received signal from which the less significant bits are detected by a fourth series of iterations.

The present invention also provides a radio telecommunications transmitter operative to send digital data symbols with higher than quadrature phase shift keying (QPSK) modulation. the transmitter comprising a modulator and means to  
5 split and encode the data into a first block of more significant bits of symbols and a second block of less significant bits of the symbols for modulating by the modulator. the means comprising a first convolutional encoder operative to produce a block of the more significant bits, a second convolutional encoder operative to produce a block of less significant bits, and respective interleavers each operative to interleave the blocks  
10 from the associated encoder into a data stream for modulating. Preferably, the transmitter further comprises a spreader for spreading the data stream by the application of spreading codes.

The present invention also provides a method of communicating digital data symbols with higher than quadrature phase shift keying (QPSK) modulation, in a  
15 radio telecommunications system comprising a transmitter and a receiver, the method comprising the steps of

at the transmitter splitting and encoding the data into a first block of more significant bits and a second block of less significant bits for modulating by a modulator.

20 at the receiver receiving digital data bits by iterative determination of soft estimates of symbols or bits followed by a hard decision as to what bit was intended, by

a first processor providing first soft estimates of bits of the received signal.

25 a second processor decoding the first soft estimates and providing second soft estimates of the bits.

a first combiner providing adapted first soft estimates to the second processor, the adapted first soft estimates of each bit being dependent upon the  
30 respective first soft estimate and a respective previous first soft estimate,

a second combiner providing third soft estimates back to the first processor for subsequent further decoding, the third soft estimates of each bit being

dependent upon the respective second soft estimate and a respective previous second soft estimate,

### **Brief Description of the Drawings**

Preferred embodiments of the present invention will now be described by way of example and with reference to the drawings, in which:

Figure 1 is a diagrammatic illustration of a telecommunications transmitter and receiver including a transmitter and receiver according to the present invention in a first embodiment including an SIC MIMO detector,

Figure 2 is a diagrammatic illustration of operation of the receiver shown in Figure 1 (and shows an overview of successive interference cancellation for a first iteration),

Figure 3 is a diagram showing the preferred detection process for 16 Quadrature Amplitude Modulation (16QAM), in particular the cancellation of single bits from a 16QAM constellation, this occurs in stages starting with (i) and go through to (iv) as shown.

Figure 4 is a graph of expected Bit error rates against bit energy/noise energy for the preferred detector as compared to a known APP detector, with 16QAM and four transmitting and four receiving antennas,

Figure 5 is a graph of expected Frame error rates against bit energy/noise energy for the preferred detector as compared to a known APP detector, with 16QAM and four transmitting and four receiving antennas,

Figure 6 is a graph of expected Bit error rates against bit energy/noise energy for the preferred detector as compared to a known APP detector, with 64QAM and four transmitting and four receiving antennas,

Figure 7 is a graph of expected Frame error rates against bit energy/noise energy for the preferred detector as compared to a known APP detector, with 64QAM and four transmitting and four receiving antennas, and

Figure 8 is a graph of system throughput rate against bit energy/noise energy for the preferred detector.

### **Detailed Description**

#### **System architecture with a SIC MIMO detector**

The system consists of a transmitter 1 and a receiver 2, as shown in Figure 1. An example based on 16 QAM is described.

### Transmitter

5           At the transmitter 1, in higher order modulation schemes such as 16-QAM or 64-QAM, the modulated bits are not equally protected, as shown in Figure 3 (a) which is described in more detail later. It will be seen in Figure 3(a) that the average distances between the respective third and fourth bits of a symbol is half the average distances between respective first and second bits of symbols. It can thus be considered  
10 that the third and fourth bits are lower energy than the first and second bits, and therefore are less protected against errors. This fact can be used to introduce a layered encoding scheme, whereby bits which are given equivalent protection by the modulation scheme are encoded together in one block. This allows us to first detect and decode the bit blocks which are well-protected by the modulation scheme, and  
15 subsequently subtract their contribution from the received signal in order to reduce the interference for the remaining less-protected bit blocks. In this way, the received, 16 QAM (or 64 QAM) modulated signal can be treated as the sum of separately encoded QPSK data-streams which can be detected with an iterative MF-SIC receiver.

          Accordingly, as regards the transmitter 1 shown in Figure 1, a layered  
20 transmission scheme is provided. The transmitted signal (Tx data) is split into blocks of equal length which are separately encoded using a convolutional code by respective convolutional encoders a,b. The output bits of encoder a are the higher energy bits (i.e. first and second bits of a 16 QAM symbol) whilst the output of the encoder b are the lower energy bits (i.e. third and fourth bits of the symbol).

25           The streams are interleaved by respective interleaver c,c' and subsequently, modulated by 16QAM modulator d and spread by spreader e with the same spreading code and transmitted from transmitting antennas TX. Therefore, only the propagation environment, which is assumed to exhibit significant multipath (so-called rich scattering) is exploited to achieve the signal separation at the receiver.

30

### Receiver

At the receiver 2, the transmitted bits are detected with a successive cancellation MIMO SIC detector 3 as shown in Figures 1 and 2, based on matched filter (MF) detection as explained in more detail below.

As shown in Figure 2, the received signal  $r$ , which contains all received  
5 signal vectors  $r_k$  ( $k=1 \dots n_R$ ) from the  $n_R$  receiver antennas RX, is fed into the SIC MIMO detector 3 which detects all transmitted symbols  $x_1 \dots x_{n_T}$  and determines the most reliable data estimate according to the particular order metric used (as described in more detail below). Ideally, the data with lowest error probability is selected (reference numeral 4). The next step is to make a hard decision (step 5) on the symbol or bit of the  
10 selected data, and to reconstruct its interference 6 by, for each antenna, calculating what received signal would have produced a 1 or -1 decision exactly (without error). Finally, the interference is subtracted (step 7) from all received signals  $r_k$ .

When the decision on the selected symbol or bit was correct, its multiple access interference is cancelled completely, however a wrong decision doubles the  
15 interference of the detected symbol or bit. Therefore, the order metric is of crucial importance for the performance of successive cancellation detectors. In the first iteration, either of two order metrics for detection and cancellation of the received data streams are applied: ordering dependent on the least mean-squared error (LMSE) for symbol-based detection, and the ordering dependent on the instantaneous error  
20 probabilities (as indicated by the magnitude values of the log-likelihood ratios (mentioned below)) within each symbol period, for bit-based detection. This detection and cancellation process is continued in repeated cycles (steps 8,9) until all data streams are detected.

Soft estimates are then generated and output by the SIC MIMO detector  
25 3 as follows. After the detection of the whole interleaved sequence, all received data streams (soft outputs) are multiplexed to one data stream which is output 11. The output from the SIC MIMO detector 3 is provided to a soft output combiner 11' (described in more detail below). The output from the soft output combiner 11' is then provided to deinterleaver 12 for deinterleaving and then decoding by convolutional decoder 13. The  
30 process of successive cancellation, deinterleaving and decoding represents the first iteration 14 of the iterative detector.

The subsequent iterations are based on the convolutional decoder 13 output of the whole transmitted sequence to improve the performance of the detector.

Therefore, the decoder 13 output of all transmitted bits 15 is interleaved again 16, and fed back to the successive cancellation detector 3. Now, the interleaved decoder output is used for the order calculation and cancellation at the detector 3. This improves the quality of the detector soft-outputs in each iteration, because the reliability of the decoder output is much higher than the initial estimates from the matched filter within the SIC MIMO detector 3. In each following iteration, soft output combining in soft output combiner 17 is necessary to stabilize the decoder outputs. In this example, the soft outputs are log-likelihood ratios (LLR). Log-likelihood ratios (LLRs) are, of course, the logarithmic ratio of the probability that a bit is correct to the probability that it is not.

#### Detection process for higher order modulations

The layered encoded signal is received and processed. A typical detection process in the receiver 2 for 16QAM is as follows, and operates as shown in Figure 3.

Figure 3 illustrates this process of bit-cancellation from a 16-QAM modulated symbol. In this case the first and second bits of each symbol are the most reliable bits and are encoded as one block. The remaining (i.e third and fourth) bits of each symbol are encoded separately as a lower reliability bit stream.

The detection process is as follows:

1. Detect higher reliability bit stream (first and second bits of 16QAM) for 4 iterations.
2. Calculate the contribution of the higher reliability bit stream to the received signal and cancel that contribution from the received signal so as to reduce 16QAM to 4-QAM (this corresponds to Figure 3(i) to 3(iii)),
3. Detect the lower reliability bit stream (third and fourth bits of 16QAM) for 4 iterations.
4. Calculate the contribution of the lower reliability bit stream from the originally received signal to reduce interference in step 5 (this corresponds to Figure 3(iii) and 3(iv),
5. Detect the higher reliability bit stream (bits 1&2 of 16QAM) for 2 further iterations.

6. Update and cancel the contribution of the higher reliability bit stream from originally received signal so as to reduce 16QAM to 4-QAM (Figure 3(i) to 3(iii)),

7. Detect low reliability bit stream (bit 3&4 of 16QAM) for 2 further iterations (Figure 3 (iii) and 3(iv)).

The received signal consists of contributions of all the bits of each symbol transmitted from all transmission (Tx) antennas with all spreading codes. The estimates of each received bit includes interference from other bits because the spreading codes are in practice never orthogonal (due to multipath propagation and code reuse). By cancelling the contributions from the bits transmitted with higher energy first, the interference (which is the sum of the contributions for the remaining bits) is reduced, and therefore the remaining bits can be detected more reliably.

A contribution is, of course, the energy with which a bit is expected to be received assuming it has been correctly determined.

#### Soft output combining after decoding

In the proposed iterative receiver, mutual information is exchanged between the MF-SIC detector 3 and the convolutional decoder 13. Therefore, at each iteration, soft estimates (in the form of LLR values) at the output of the decoder are fed back to the detector for purposes of interference cancellation. The LLR values are also used to determine the order of detection of bits in the successive interference cancellation (MF-SIC) detector 3. Consequently, new and hopefully more reliable soft-output values are made available at the output of the decoder 13 after each iteration. However, in some cases, the interference cancellation process can lead to poorer soft-outputs for certain bits. This can result in error propagation and therefore unstable bit-error rate performance in subsequent iterations.

Such instabilities can be avoided by combining in the soft output combiner 17 shown in Figure 1, the soft-output values computed in the current iteration with those computed in the previous iteration(s). For that, each new LLR value  $L_{n,i}$  of bit  $b$  consists of a weighted sum of the current and the previous LLR value. Example proportions are 85:15 current to previous, or 70:30 current to previous. The combining weight factors have a significant influence on the stability and the speed of convergence

of the iterative receiver 2. Using this combining process, reliability information already gained for a certain transmitted bit is not lost in the next iteration.

While soft-output combining could have been performed either at the output of the detector 3 or that of the decoder 13, simulations indicate that the combination of both (i.e soft output combiners 11', 17) is most effective.

If  $q$  indicates the iteration reached, then the above-described soft-output combining may be described mathematically as

$$y''_{k,i}(t)[q] = \alpha y''_{k,i}(t)[q] + (1 - \alpha) y''_{k,i}(t)[q - 1] \quad (1)$$

$$\lambda''_{k,i}(t)[q] = \beta \lambda''_{k,i}(t)[q] + (1 - \beta) \lambda''_{k,i}(t)[q - 1] \quad (2)$$

where  $y''_{k,i}$  is the detector output at time  $t$  where  $k$  is which of the spreading codes is used,  $n$  denotes which transmitting antenna is used,  $i$  indicates either the real or imaginary part of the constellation.  $\lambda$  is the soft output i.e log likelihood ratio. Good performance results were found to be achieved via combining factors of  $\alpha=0.9$  and  $\beta=0.75$ .

15

### Signal Model

Figures 1 illustrates the transmission scheme for the MIMO system under investigation. At the transmitter 1, user data is convolutional-encoded and interleaved. The coded data stream is de-multiplexed into  $N_T$  sub-streams, corresponding transmit antennas (Tx). Each sub-stream ( $X_1, X_2, X_3, X_4$ ) is then modulated on to  $NK$  4-QAM orthogonal spreading codes prior to transmission. Each transmitted spread stream then occupies  $N$  symbol intervals. Also note that the same set of  $K$  codes are re-used across all transmit antennas. Therefore, the MIMO propagation environment, which is assumed to exhibit significant multipath, plays a major role in achieving signal separation at the receiver. The transmitted signals are received by  $N_R$  receive antennas after propagation through dispersive radio channels with impulse response lengths of  $W$  chips. The received signal vector can then be modelled as follows:



$$\begin{bmatrix} \underline{r} \\ \vdots \\ \underline{r} \end{bmatrix} = \begin{bmatrix} \underline{H}^1 & \dots & \underline{H}^{N_R} \\ \vdots & & \vdots \\ \underline{H}^{N_R} & \dots & \underline{H}^{N_R} \end{bmatrix} \sum_{k=1}^K \begin{bmatrix} \underline{C}_k' & \dots & 0 \\ \vdots & & \vdots \\ 0 & \dots & \underline{C}_k' \end{bmatrix} \begin{bmatrix} \underline{x}_k \\ \vdots \\ \underline{x}_k \end{bmatrix} + \begin{bmatrix} \underline{v} \\ \vdots \\ \underline{v} \end{bmatrix} \quad (3)$$

$$\underline{r} = \underline{H} \sum_{k=1}^K \underline{C}_k \underline{x}_k + \underline{v} \quad (4)$$

where

- 5  $\underline{r}^m \in \mathbb{C}^{(QN+W-1) \times 1}$  and is the signal at Rx antenna  $m$ .  
 $\underline{v}^m \in \mathbb{C}^{(QN+W-1) \times 1}$  and is the noise + inter-cell interference at Rx antenna  $m$ .  
 $\underline{x}_k^n \in \mathbb{C}^{N \times 1}$  and is the symbols sequence  $[x_k^n(1) \dots x_k^n(N)]^T$  at Tx antenna  $n$  spread via  $k^{\text{th}}$  spreading code.  
 $\underline{C}_k' \in \mathbb{C}^{QN \times K}$  and is a the spreading matrix for  $k^{\text{th}}$  spreading code  $\underline{c}_k \in \mathbb{C}^{Q \times 1}$ .

$$10 \quad \underline{C}_k' = \begin{bmatrix} \underline{c}_k & \dots & 0 \\ \vdots & \ddots & \vdots \\ 0 & \dots & \underline{c}_k \end{bmatrix}$$

$\underbrace{\hspace{10em}}_{N \text{ Times}}$

$\underline{H}^i \in \mathbb{C}^{(QN+W-1) \times QN}$  and is the channel matrix from Tx antenna  $i$  to Rx antenna  $m$ .  
and  $\underline{v}$  is a vector of iid complex Gaussian variables,  $\underline{R}_v = E\{\underline{v}\underline{v}^H\} = N_o \underline{I}$ .  
( $\in$  denotes, of course, takes the elements of.)

## 15 Iterative Receiver

At the receiver 2 of Figure 1, signal vector  $\underline{r}$  is fed into a successive interference cancellation detector 3 incorporating matched filter detection (MF-SIC). The received signal of Eq. (4) observed over the  $t^{\text{th}}$  symbol interval may be written as

$$\underline{r}(t) = \sum_{n=1}^{N_T} \sum_{k=1}^K \underline{a}_k^n(t) x_k^n(t) + \text{ISI} + \underline{n}(t) \in \mathbb{C}^{N_R(Q+W-1)} \quad (8)$$

- 20 where  $x_k^n(t)$  is a transmitted symbol at the  $t^{\text{th}}$  symbol interval and  $\underline{a}_k^n(t)$  is its code-channel signature at the receiver. The output of the MF-SIC detector 3 is then de-interleaved by deinterleaver 12 and applied to a convolutional decoder 13. This represents the first iteration of the receiver. Soft outputs from the decoder are then re-interleaved by interleaver 16 and applied to the MF-SIC detector 3 for further  
25 iterations.

### Iteration 1:

In the first iteration, the MF-SIC 3 operates at a symbol level. The first step is to determine, at each symbol epoch,  $t$ , the most reliable symbol according to a reliability criterion. Ideally, the symbol with the lowest error probability is selected. Lacking such information, the symbol  $x_k''(t)$   $k=1 \dots K$   $n=1 \dots N_T$  with the highest signature energy,  $|a_k''(t)|^2$  (or least mean-square estimation error), is selected. The next step is to estimate the selected symbol (soft-output derived via matched filter detection), make a hard decision on the estimate, reconstruct and cancel its contribution from the received signal:

$$y_k''(t) = a_k''(t) u(t) \quad (9)$$

$$r(t) = r(t) - a_k''(t) \left\{ \text{sgn}\{\text{Re}[y_k''(t)]\} + j \text{sgn}\{\text{Im}[y_k''(t)]\} \right\} \quad (10)$$

The process is then repeated for the next most reliable symbol. If the decision on the selected symbol is correct, then its interference towards other symbols can be completely suppressed. However, a wrong decision doubles the level of interference caused by the erroneously detected symbol. Consequently, the reliability criterion used for the ordering of symbols is of critical importance in any form of successive cancellation.

After the MF-SIC detection of a complete code-block by detector 3, the corresponding log-likelihood ratios in the form of soft-outputs,  $y_{k,0}''(t) = \text{Re}[y_k''(t)]$  and  $y_{k,1}''(t) = \text{Im}[y_k''(t)]$ , are multiplexed into a single stream for de-interleaving by deinterleaver 12 and convolutional decoding (max-log MAP algorithm) by convolutional decoder 13. The decoder output is fed into the soft output combiner 17 and an interleaver 16 prior to re-application to the MF-SIC detector 3 for subsequent iterations.

### Iteration 2 and beyond:

In the second and each subsequent iteration of the receiver, the MF-SIC detector 3 has access to reliability information at a bit level, in the form of log-likelihood ratios,  $\Lambda(b_{k,i}''(t))$ , generated by the soft-output combiner 17 in the previous iteration. As a result, at each symbol interval,  $t$ , ordering can be performed at a bit level (rather than symbol level) based on the LLR values. In other words, the bit  $b_{k,i}''(t)$  with

the largest LLR value  $|\Lambda(b_{k,i}''(t))|$  (or minimum estimation error probability), can be selected as most reliable. Since bit estimates corresponding to a particular symbol can have different reliabilities, the use of LLR values represents an optimum ordering policy. The cancellation process at the  $i^{\text{th}}$  symbol interval is based on the more reliable  
5 hard bit estimates derived from the LLR values:

$$v_{k,i}''(t) = \frac{1}{2j'} \left\{ \underline{a}_k''(t)^* \underline{r}(t) + (-1)^i \underline{r}(t)^* \underline{a}_k''(t) \right\} \quad (11)$$

$$\underline{r}(t) = \underline{r}(t) - j' \underline{a}_k''(t) \text{sgn}\{\lambda(b_{k,i}''(t))\} \quad (12)$$

where  $i=0$  or  $1$  depending on whether the bit of interest forms the real or imaginary part of the 4-QAM symbol. The process is again repeated for the next most  
10 reliable bit. After the MF-SIC detection of a complete code-block, The soft-outputs,  $y_i$ , corresponding to a complete code-block are again multiplexed into a single stream for de-interleaving and decoding (MAP algorithm). The performance of the MF-SIC (and hence the receiver) should improve at each iteration as the quality of the decoder output improves.

15

### Performance Comparison

The performance of the known APP-based receiver for a Turbo-encoded MIMO link as proposed in 3GPP TSG RAN WG1, "Link Level Results for HSDPA using Multiple Antennas in Correlated and Measured Channels", TSG-  
20 1#19(01)0302, February 2001, Las Vegas, U.S.A is considered as reference for comparison with that of the proposed MF-SIC based receiver 2 for an equivalent convolutionally-encoded MIMO link.

For both the prior art approach and the preferred approach, a system with  $N_T=N_R=4$  i.e 4 transmitting antennas and 4 receiving antennas (operating with so-  
25 called transmission diversity), spreading factor  $Q=16$  and number of codes used  $K=16$ , is considered, as similar to the HSDPA specifications.. In addition to a flat Rayleigh fading channel, a dispersive (multipath) channel with 3 equal power taps (i.e filter coefficients, in a tapped delay model) which are chip-spaced is also considered. Flat fading is, of course, where propagation does not involve multipath. It is assumed that  
30 the mobile speed is 3km/h and the receiver has perfect knowledge of the average channel conditions during each transmitted data block. For the known APP detector

with turbo-encoded MIMO link, a 8-state rate 1/3 turbo encoder is considered in accordance with the HSDPA specifications, resulting in a block size with up to 5114 information bits. A total of 6 iterations of the turbo decoder are performed in the known APP receiver. On the other hand, for the convolutionally-encoded MIMO link ( i.e in  
5 the preferred approach), rate 1/3, 64-state convolutional encoders (as shown in Figure 1) are considered to allow a comprehensive comparison in terms of performance and complexity. 64 state means, of course, the number of states in the code. Rate 1/3 means that the coded message is three times as long as the information payload. A total of 6 iterations between the MF-SIC detector 3 and the convolutional decoder 13 are  
10 performed. Soft-output combiners 11, 17 with the coefficients  $\alpha=0.9$  after detection and  $\beta=0.75$  after decoding were used.

Figure 4 to 7 show the bit error rate BER and frame error rate FER performance for a flat fading channel and a dispersive (3 equal power taps, chip-spaced) channel of the iterative receiver 2 including MF-SIC detector 3 in comparison to the  
15 known APP based receiver, for 16-QAM and for 64-QAM. The known APP receiver uses turbo-coding according to the UMTS standard with 6 decoder iterations and a block size based on 1024 information bits -> 3072 coded bits (+tail).

As shown in Figures 4 and 5, the preferred receiver 2 (with 16 QAM) offers superior performance in bit error rate and frame error rate for equivalent bit  
20 energy/noise energy ratios. Figures 6 and 7 show the approach also works at 64 QAM where the known APP detection approach becomes of extreme computational complexity.

Figure 8 shows the achievable system throughput in a 5MHz band with a chip rate of 3.84 MHz, 4 transmitting and 4 receiving antennas, and flat fading. The  
25 throughput is based on the frame-error rate simulation results for the receiver 2 including iterative MF-SIC detector 3. The result show that the error floor of the flat fading curves in Figures 5 and 7 do not have a significant influence on the obtainable system throughput rate (which is inversely related to the frame error rate).

The proposed MF-SIC receiver was compared with the known APP-  
30 based receiver considered for an equivalent turbo-encoded MIMO link (described in 3GPP TSG RAN WG1, "Link Level Results for HSDPA using Multiple Antennas in Correlated and Measured Channels ", TSG1#19(01)0302. February 2001, Las Vegas.

U.S.A) and is shown to achieve superior performance at a much lower complexity. The performance loss due to the use of a sub-optimal detector is regained via iterations with the decoder, enabled by the preferred soft-output combining technique in combination with the layered encoding scheme.

- 5                      To summarise, it has been shown that the proposed layered coding scheme makes low complexity iterative receivers based on a simple matched filter possible for higher than 4QPSK modulations, e.g. 16 QAM and 64QAM modulations.



**Claims:**

1. A radio telecommunications system operative to communicate digital data symbols with higher than quadrature phase shift keying (QPSK) modulation, the  
5 system comprising a transmitter (1) and a receiver(2).

the transmitter (1) comprising a modulator (d) and means (a,b,c,c') to split and encode the data into a first block of more significant bits of symbols and a second block of less significant bits of the symbols for modulating by the modulator (d),

10 the receiver (2) being operative to receive digital data bits by iterative determination of soft estimates of bits followed by a hard decision as to what bit was intended, the receiver (2) comprising

a first processor (3) operative to provide first soft estimates of bits of the received signal,

15 a second processor (13) operative to decode the first soft estimates and to provide second soft estimates of the bits.

a first combiner (11') operative to provide adapted first soft estimates to the second processor (13), the adapted first soft estimates of each bit being dependent upon the respective first soft estimate and a respective previous first soft estimate,

20 a second combiner (17) operative to provide third soft estimates back to the first processor for subsequent further decoding, the third soft estimates of each bit being dependent upon the respective second soft estimate and a respective previous second soft estimate.

25 2. A system according to claim 1, in which the means (a,b,c,c') comprises a first convolutional encoder (a) operative to produce blocks of the more significant bits, a second convolutional encoder (b) operative to produce blocks of less

significant bits, and respective interleavers (c,c') each operative to interleave the blocks from the associated encoder into a respective data stream for modulating.

3 A system according to claim 1 or claim 2, in which, in use, at the receiver (2) the soft estimates of multiple data streams which are multiplexed into one stream at the output of the first processor (3), and are provided to the first combiner (11') so as to provide the adapted first soft estimates which are deinterleaved by a deinterleaver (12) before being passed to the second processor (13), and the third soft estimates provided by the second combiner (17) are interleaved by an interleaver (16) before being passed back to the first processor (3).

10 4. A system according to any preceding claim, in which the first processor (3) is a successive interference cancellation SIC multiple input multiple output MIMO detector and the second processor is a convolutional decoder, the soft estimates being log likelihood ratios.

15 5. A system according to claim 4, in which the SIC MIMO detector includes matched filters for detection.

6. A system according to any preceding claim, in which the modulation scheme is 16 Quadrature amplitude modulation, the first two bits of a symbol being provided by the first convolutional encoder (a), and the last two bits of a symbol being provided by the second convolutional encoder (b).

20 7. A system according to any preceding claim, in which, in use, at the receiver (2) a plurality of detection iterations each involving the first processor, second processor and the combiners are performed whereupon a hard decision (5) is made as to what bit(s) was/were intended.

25 8. A system according to claim 7, in which the more significant bits are detected in the received signal in a first series of iterations, their estimated contribution to the received signal being subtracted to provide a modified received signal from which the less significant bits are detected by a second series of iterations.

9. A radio telecommunications transmitter operative to send digital data symbols with higher than quadrature phase shift keying (QPSK) modulation, the



transmitter (1) comprising a modulator (d) and means (a,b,c,c') to split and encode the data into a first block of more significant bits of symbols and a second block of less significant bits of the symbols for modulating by the modulator (d), the means (a.b.c.c') comprising a first convolutional encoder (a) operative to produce blocks of the more significant bits, a second convolutional encoder (b) operative to produce blocks of less significant bits, and interleavers (c,c') each operative to interleave the blocks from the associated encoder into a respective data stream for modulating.

10. A method of communicating digital data symbols with higher than quadrature phase shift keying (QPSK) modulation, in a radio telecommunications system comprising a transmitter (1) and a receiver(2), the method comprising the steps of:

at the transmitter splitting and encoding the data into a first block of more significant bits and a second block of less significant bits for modulating by a modulator (d),

15 at the receiver (2) receiving digital data bits by iterative determination of soft estimates of symbols or bits followed by a hard decision as to what bit was intended, by

a first processor (3) providing first soft estimates of bits of the received signal,

20 a second processor (13) decoding the first soft estimates and providing second soft estimates of the bits,

a first combiner (11') providing adapted first soft estimates to the second processor (13), the adapted first soft estimates of each bit being dependent upon the respective first soft estimate and a respective previous first soft estimate,

25 a second combiner (17) providing third soft estimates back to the first processor for subsequent further decoding, the third soft estimates of each bit being dependent upon the respective second soft estimate and a respective previous second soft estimate.



Abstract

**A RADIO TELECOMMUNICATIONS SYSTEM OPERATIVE BY  
ITERATIVE DETERMINATION OF SOFT ESTIMATES, AND A  
CORRESPONDING METHOD**

5           A radio telecommunications system is provided operative to  
communicate digital data symbols with higher than quadrature phase shift keying  
(QPSK) modulation. The system comprises a transmitter (1) and a receiver(2).

          The transmitter (1) comprises a modulator (d) and means (a,b,c,ĉ) to split  
and encode the data into a first block of more significant bits of symbols and a second  
10 block of less significant bits of the symbols for modulating by the modulator (d),

          The receiver (2) is operative to receive digital data bits by iterative  
determination of soft estimates of bits followed by a hard decision as to what bit was  
intended. The receiver (2) comprises a first processor (3) operative to provide first soft  
estimates of bits of the received signal, and a second processor (13) operative to decode  
15 the first soft estimates and to provide second soft estimates of the bits. The receiver (2)  
also comprises a first combiner (11') operative to provide adapted first soft estimates to  
the second processor (13), the adapted first soft estimates of each bit being dependent  
upon the respective first soft estimate and a respective previous first soft estimate. The  
receiver (2) also comprises a second combiner (17) operative to provide third soft  
20 estimates back to the first processor for subsequent further decoding, the third soft  
estimates of each bit being dependent upon the respective second soft estimate and a  
respective previous second soft estimate.



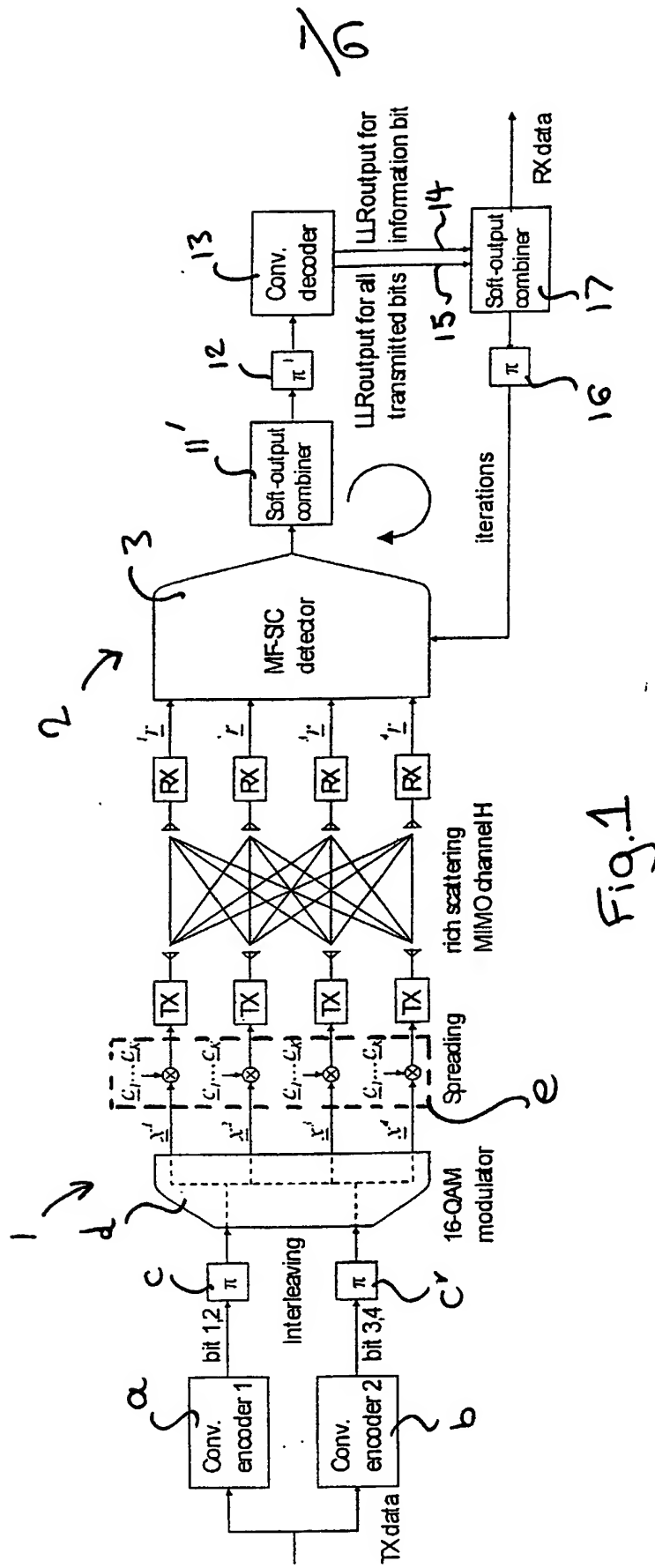
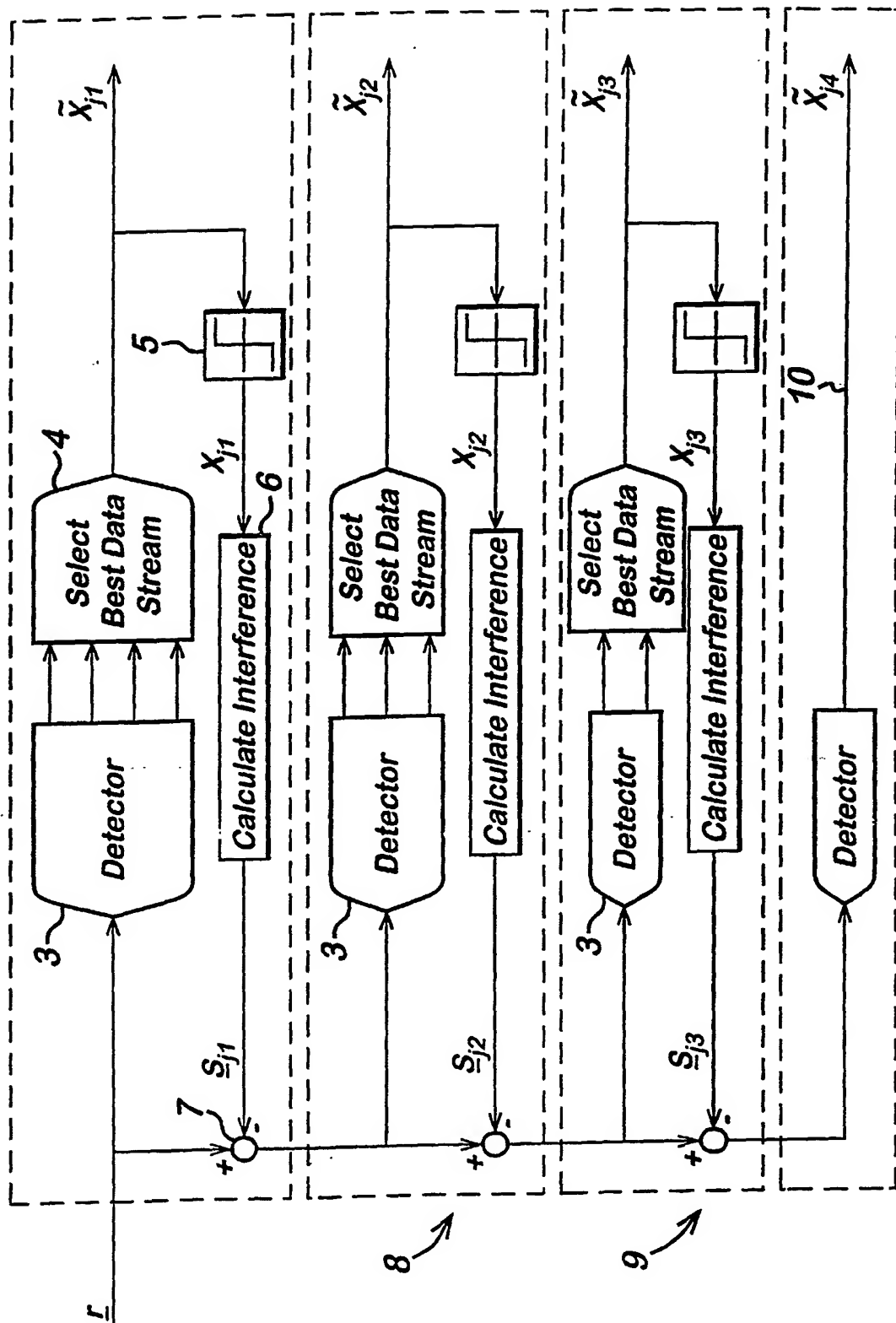


Fig.1

**Fig. 2**  
Successive Interference Cancellation Overview For First Iteration



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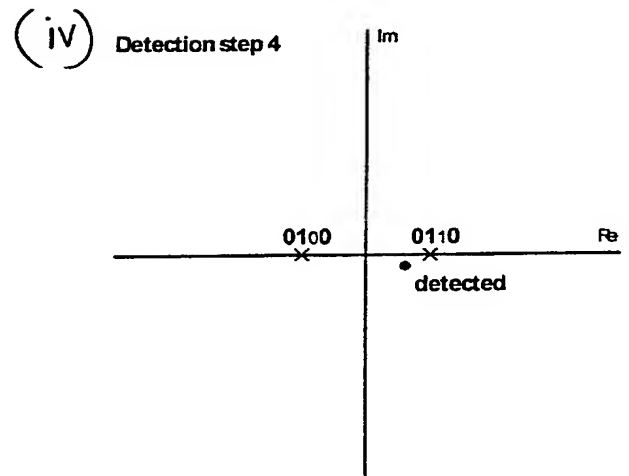
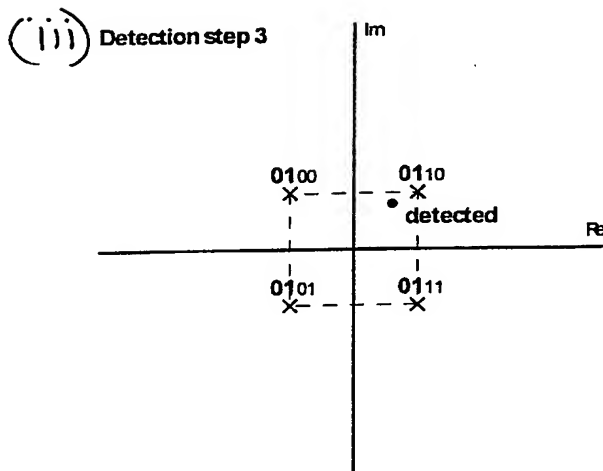
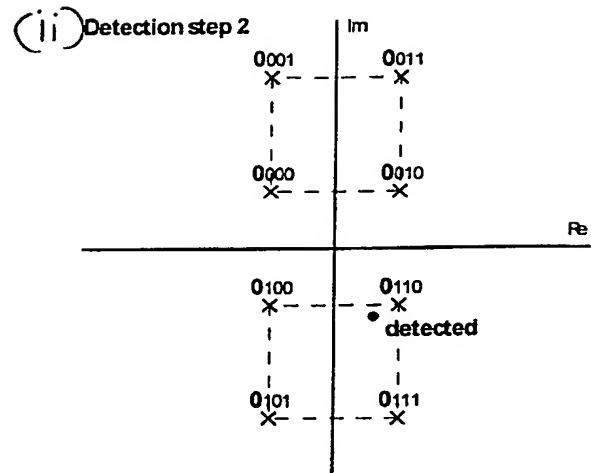
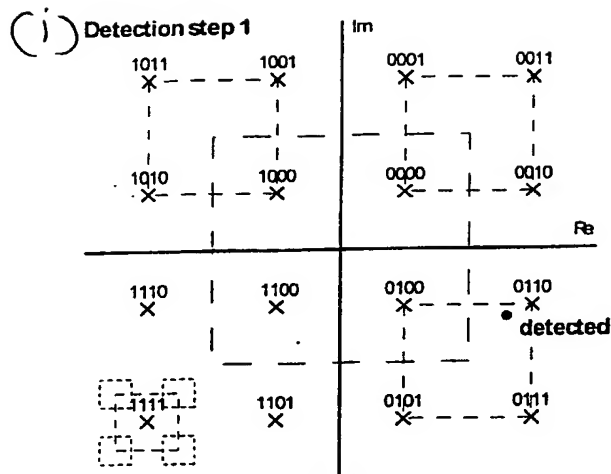


Fig.3

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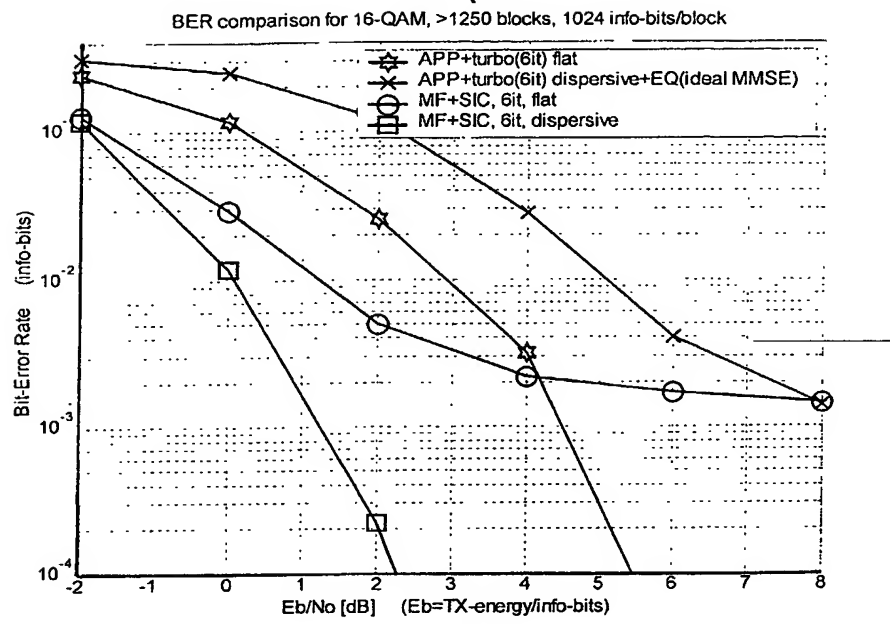


Fig. 4

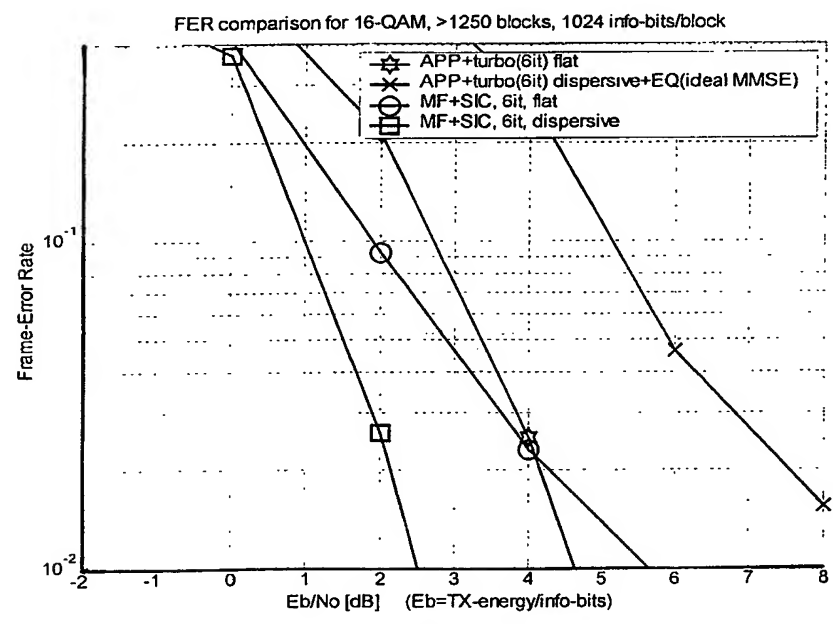


Fig. 5



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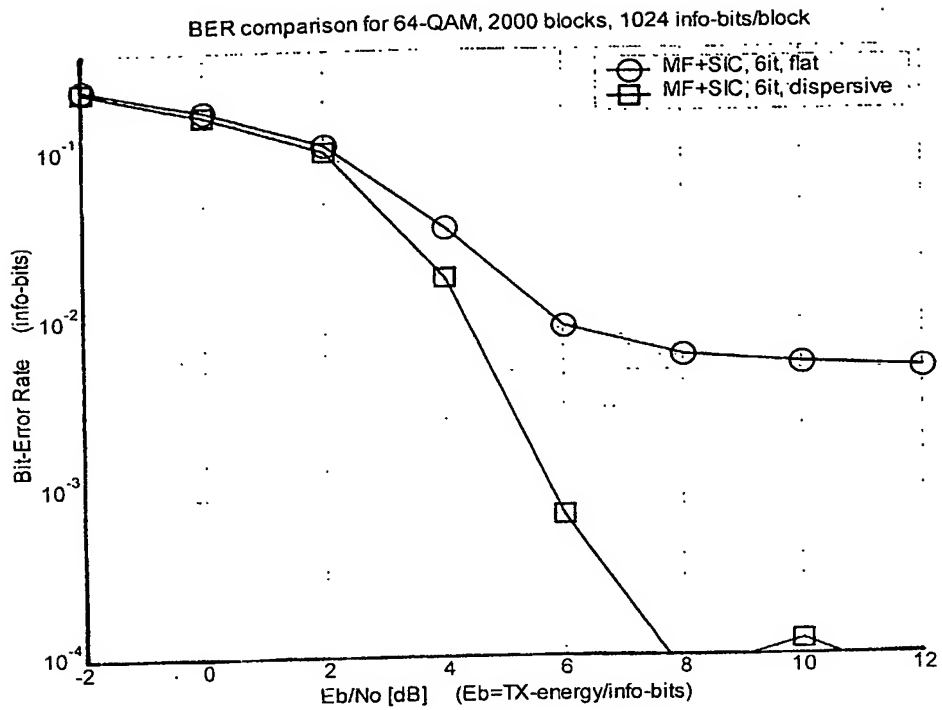


Fig. 6

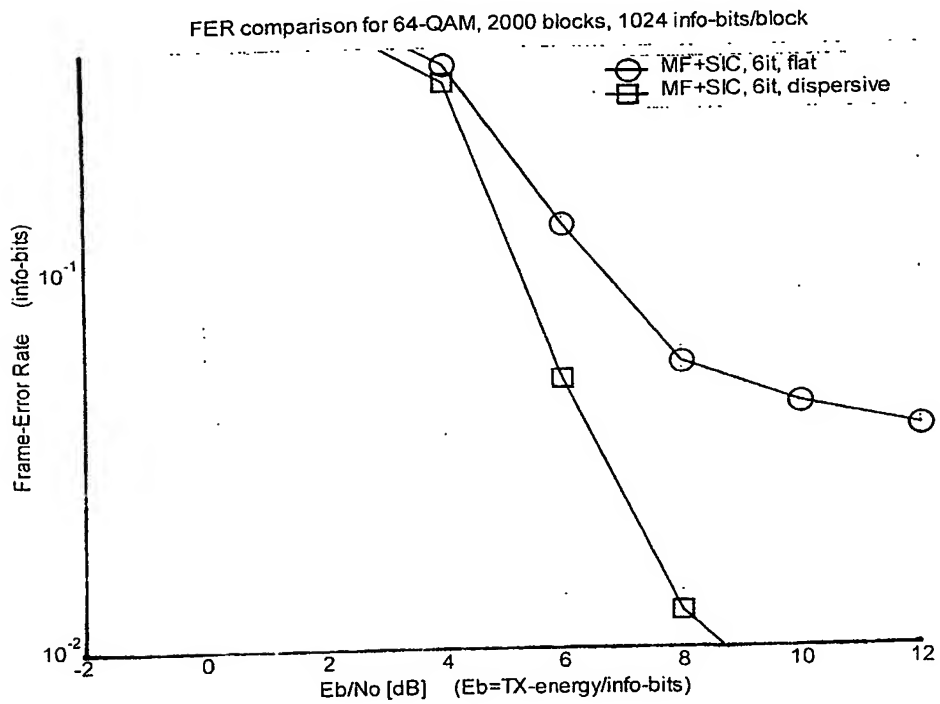


Fig. 7

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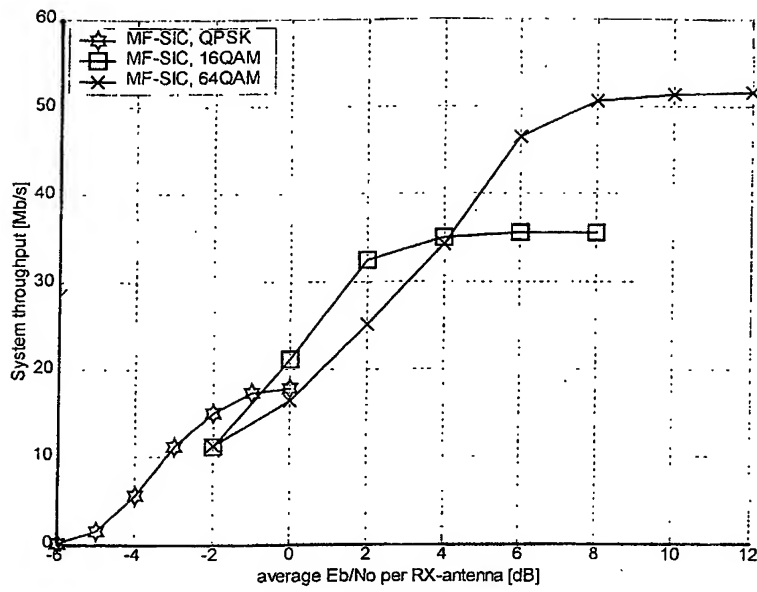


Fig. 8